

SI (Settlement Instruction)Date : _____ . No.: **SI** - _____ .

Hong Kong Stock Link Securities Limited

Tel.: 2530-2213 Fax.: 2523-5069

Instruction Type : **Deliver** **Receive**
(\$20 per stock) (Free of payment)

Client Name : _____ Client A/c No.: _____

Counterparty : Firm Name : _____ I.D. No.: _____
Contact Person : _____ Tel. : _____

<u>Stock Name</u>	<u>Stock Code</u>	<u>Quantity</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

Settlement Date : _____

Purpose of SI : C M

Payment Instruction : FOP
 DVP Amount : _____
 RDP Amount : _____

Client' s signature : ★ _____ Signature verified by _____

Confirmed with client Date and time : _____ by : _____Official Use only :Deliver

Check client stock
Confirmed with Counterparty
SI Input Checked _____
ATI Input (to #01)
Authorized :
Matched :
Settled :
Voucher Input (Stock Out)
Report - filing
\$20 collected

Receive

Confirmed and checked with Counterparty
SI Input Checked _____
Authorized
Matched
Settled
ATI Input (to #03 or #04)
Voucher Input (Stock In)
Report - filing

 Approved : _____ 05-03-2014 Client Assets Rule Annex H