

Hong Kong Stock Link Securities Company Limited

Knowledge of Derivatives Questionnaire

問卷：對衍生工具之認識

This Questionnaire is to assess whether client has possessed adequate knowledge of derivative products and we will base on the information provided in determining whether a particular product is suitable for you.

此問卷是評估客戶對衍生工具之認識。本公司會根據客戶提供之資料來確定客戶是否適合投資此類產品。

Please tick where appropriate 在適當處請

1	I wish to trade derivative products. 本人欲買賣衍生產品	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
2.	I have undergone training or attended courses on derivative products and I am fully aware of the nature and risks of this kind of investment products. 本人曾經參加相關培訓課程並充分意識到衍生產品的性質和風險。	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
3.	I have current or previous work experience related to derivative products 本人現時或過往有此類產品之工作經驗。	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
4.	I have executed five or more transactions in derivative products within the past three years. 本人曾經在過去三年內有五次或以上買賣任何一類衍生產品經驗。	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否

Client's Declaration 客戶聲名

I intend to purchase derivative products after account opening. I acknowledge that I have read through the Risk Disclosure Statement stated in the Client's Agreement. I confirm that I shall make my own risk assessment, or seek independent professional advice, and that I have sufficient net worth to be able to assume the risks and bear the potential losses before purchasing derivative products.

本人將會在開戶後買賣此類衍生產品。本人確認已細閱客戶協議書之「風險披露聲名」。本人確認本人亦將會自行評估風險，或尋求獨立之專業意見。在購買此類衍生產品前，本人有足夠之資產淨值來承受風險及潛在損失。

X

Client's signature 客戶簽名

Date 日期

A/C Name 戶口姓名：_____

A/C No. 戶口號碼：_____

Signature verified _____

For New Client use