

ISI (Investor Settlement Instruction) Date : _____ No: **ISI** _____ - _____.

Hong Kong Stock Link Securities Limited

Tel.: 2530-2213 Fax.: 2523-5069

Instruction Type : Deliver (\$20 per stock) Receive (Free of charge)

Client Name : _____ Client A/C No. _____

Counterparty : ISI A/C No. : _____

<u>Stock Name</u>	<u>Stock Code</u>	<u>Quantity</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Settlement Date : _____

Payment Instruction : FOP
 DVP Amount : _____
 RDP Amount : _____

Client signature : ★ _____ Signature verified by _____

Instruction confirmed by phone on _____ time : _____ by : _____

Official use only :

Deliver

\$20 collected
ISI Input
ATI Input (#01 A/C) Checked _____
Authorization
Notified AE
Settled
Voucher Input (Stock Out)
Report from A/C Dept before filing

Receive

ISI Input Checked _____
Authorization
Notified AE
Settled
ATI Input (To #03 or #04)
Voucher Input (Stock In)
Report from A/C Dept. before filing

Approved : _____

Updated : 05-03-2014

Client asset rules Annex I